Last Date of submission: 25/09/2018



### U.P. STATE CONSTRUCTION & INFRASTRUCTURE DEVELOPMENT CORPORATION LTD.

(A Government of U.P. Enterprise) Corporate office:

T.C.-46, V- Vibhuti Khand, Gomti Nagar, Lucknow- 226010 Phone: 0522-2305878

> Email: ceupscidcowest@gmail.com Web site: www.upscidc.org



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# E-TENDER FOR PRE-QUALIFICATION FOR CONSTRUCTION OF VARIOUS SCHOOLS OF KENDRIYA VIDYALAYA SANGATHAN AT VARIOUS LOCATIONS.

Reference NIT NO.	
Sale of Tender document	Available on UPSCIDCO E-tendering Portal
	etender.up.nic.in/www.upscidc.org
Document Downloading Start Date:	06/09/2018. from 02:00 PM
Document Downloading END Date:	24/09/2018. upto 04:00 PM
Bid Submission Start Date:	07/09/2018. from 04:15 PM
Pri-Bid metting	17/09/2018. from 12:30 PM
Bid Submission END Date:	25/09/2018. upto 03:00 PM
Bid Opening Date:	25/09/2018. at 04:00 PM
Last Date/Time for submission of Original	25/09/2018 upto 02:00 PM
Hard Copy of processing Fee .	

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### SECTION - 1

# NOTICE FOR PRE-QUALIFICATION



### U.P. STATE CONSTRUCTION & INFRASTRUCTURE DEVELOPMENT CORPORATION LTD.

(A GOVT. OF U.P. ENTERPRISE) Corporate office:

T.C.-46, V- Vibhuti Khand, Gomti Nagar, Lucknow- 226010 Phone: 0522-2305878

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### E-NOTICE FOR INVITING APPLICATIONS FOR PRE-QUALIFICATION OF CONTRACTOR/FIRMS

Ref. ......../C.E.(W)/LKO/E-Tender/2018-19 Dated: 17.08.2018

U.P. STATE CONSTRUCTION & INFRASTRUCTURE DEVELOPMENT CORPORATION LTD. on behalf of KENDRIYA VIDYALAYA SANGATHAN, NEW DELHI invites Pre qualification in electronic tendering system from the contractor/firm which are already registered with UPSCIDCO Ltd under A+ Category /State PWD of Chattisgarh & Madhya Pradesh/ C.P.W.D./ M.E.S./Central Government undertaking for the work of CONSTRUCTION OF VARIOUS SCHOOLS OF KENDRIYA VIDYALAYA SANGATHAN AT VARIOUS LOCATIONS as given in section 2. Cost of these work is approximately Rs. 18 Cr each. The completion period shall be 15 months for each work. This tender notice is to select /finalize the pre qualification of Contractor/firms. The pre qualified Contractor/firms will be required to submit financial bid against the said work. The Pre-qualification should meet the following minimum qualifying criteria:

- **Turnover**: Average Annual Financial Turnover on construction works during the last three years, ending 31<sup>st</sup> March of the previous financial year i.e. 2017-18, should be at least 50% of the estimated cost. Audited Annual Report to be attached. In case Balance Sheet with profit & loss is not prepared for 2017-18, Provisional Balance sheet along with profit & loss duly certified by chartered accountant is to be enclosed.
- **Experience**: Applicant should have the experience of completion of similar works during last 7 years ending last day of month previous to the one in which tenders are invited should be either of the following.
  - a. Three similar completed works each costing not less than the amount equal to 40% of the estimated cost,

or

b. Two similar completed works each costing not less than the amount equal to 60% of the estimated cost,

or

- c. One similar completed work costing not less than the amount equal to 80% of the estimated cost.
- iii. Similar works mean: Civil work comprising RCC framed structure Institutional/Residential/Industrial building including services like electrification, Sanitary & Water Supply, Drainage and waste management, Road works, Fire fighting & Site development etc. from Central / State Govt. Departments / PSUs and/or Autonomous bodies with supporting evidence & TDS certificate. The value of executed works shall be brought to current costing level by enhancing the actual value of work at simple rate of 7 % per annum calculated from the date of completion to last date of receipt of applications for tenders.
- **iv. Profitability:** The applicant should be a profit (net) making firm and Should not have incurred losses for more than two years out of last five years ending 31<sup>st</sup> March, 2018 duly certified by Chartered Accountant.
- **V. EPF Registration:** Agency must have EPF registration. In case EPF registration is not there, the agency should obtain EPF registration on allotment of work.

- vi. Joint Venture: Joint Ventures are not permitted.
- vii. Credit Facility: Applicant Agency shall have to submit Un-utilized credit facility of 10% (minimum) of estimated cost put to tender issued on or after the date of publication of Notice inviting Pre-qualification duly certified by Bank.
- **viii.** The applicant must have adequate organizational setup as well as having sufficient number of experienced personnel, technical know-how, and infrastructure to complete the project well within time frame.
- ix. The applicant must have minimum three years' experience working with public sector enterprises / government / semi government / autonomous organizations as per clause (iii) above.
- **x.** UPSCIDCO is free to get documents verified and agency shall have no objection to it. In case if it is found at any stage that the agency has made any false information will be disqualified and kept on Black list.

#### Submission of Documents through E-Mode

The following document duly digitally signed by the person authorized to sign the bid shall be uploaded on UPSCIDCO Ltd.'s E-tender portal, <u>etender.up.nic.in</u> portal of U.P. Government i.e. etender.up.nic.in

#### Part I "Technical Bid"

- 1 Formats for Application, as specified in section 4
- 2 Any other information/documents required to be completed and submitted by bidder as per Pre-qualification notice.

#### Part II "Processing Fee" (With GST)

Processing fee as per NIPQ for respective package **Non-refundable** will be required to be paid offline in the form of Demand Draft from Nationalized or any scheduled bank (HDFC /ICICI/IDBI/Axis) drawn in favor of Managing Director, UPSCIDCO Ltd. payable at Lucknow. The original Demand Draft against Processing fee should be posted / couriered / given in the office of General Manager, UPSCIDCO, latest by the last date of bid submission or as specified in the PQ Document. The details of Demand Draft / any other accepted instruments, physically sent, should tally with the details available in the scanned copy and the data entered during bid submission time.

UPSCIDCO reserves the right to reject any or all the applications or limit the short list to any number or annul this process without assigning any reason whatsoever. This notice is also available on UPSCIDCO's website <a href="https://www.upscidc.org">www.upscidc.org</a>

Any corrigendum/addendum/errata in respect of the above empanelment/Pre- qualification shall be made available only at our official website <a href="www.upscidc.org">www.upscidc.org</a>./etender.up.nic.in. No further press advertisement will be given. Hence prospective applicants are advised to visit UPSCIDCO website and <a href="etender.up.nic.in">etender.up.nic.in</a>. regularly for above purpose.

General Manager (Const.) T.C.-46, V, Vibhuti Khand Gomti Nagar, Lucknow-206010

# SECTION – 2

### **INSTRUCTIONS TO APPLICANTS**

#### 2. Instruction to applicants

- 2.1 The Contractor/firms who fulfill qualifying criteria as mentioned above as well as in qualifying criteria in concerned section are eligible to apply for empanelment/Prequalification.
- 2.2 The Contractor/firms are invited to submit an application for Empanelment/Prequalification. The Pre-qualified agencies only shall be asked to participate in financial bid. The tender will be the basis for technical discussions/negotiations, if required and ultimately for signing contract with the selected Contractor/firms.
- 2.2 **Background:** The work of CONSTRUCTION OF VARIOUS SCHOOLS OF KENDRIYA VIDYALAYA SANGATHAN AT VARIOUS LOCATIONS has been entrusted to UPSCIDCO Ltd. by KENDRIYA VIDYALAYA SANGATHAN, NEW DELHI on Project Management Consultancy.

				Processing
Sr. No	State	Location	Package	Fees (only in
				form of DD)
		D'' (1)4/5)		Rs 10000/- Plus
1		Bijapur (LWE)	1	GST @
				18%=11800/-
				Rs 10000/- Plus
2		Kurud	2	GST @
				18%=11800/-
		- 1 ()		Rs 10000/- Plus
3		Sukma (LWE)	3	GST @
	Chhatisgarh			18%=11800/-
				Rs 10000/- Plus
4		Naya Raipur	4	GST @
				18%=11800/-
				Rs 10000/- Plus
5		Kawardha	5	GST @
				18%=11800/-
				Rs 10000/- Plus
6		Saraipalli	6	GST @
				18%=11800/-
				Rs 10000/- Plus
7		Amba	7	GST @
				18%=11800/-
				Rs 10000/- Plus
8	Madhya Pradesh	Jamai	8	GST @
				18%=11800/-
				Rs 10000/- Plus
9		BSF Takenpur	9	GST @
				18%=11800/-

				Rs 10000/- Plus
10		Chanderi	10	GST @
				18%=11800/-
				Rs 10000/- Plus
11		Multai	11	GST @
				18%=11800/-
				Rs 10000/- Plus
12		Ganj Basoda	12	GST @
				18%=11800/-
				Rs 10000/- Plus
13	Madhya Pradesh	NoII Neemuch	13	GST @
				18%=11800/-
				Rs 10000/- Plus
14		Dabra	14	GST @
				18%=11800/-
				Rs 10000/- Plus
15		Hattanagar	15	GST @
				18%=11800/-
				Rs 10000/- Plus
16		Lakhnadaun	16	GST @
				18%=11800/-
				Rs 10000/- Plus
17	Uttar Pradesh	MCF Rae Bareli	17	GST @
				18%=11800/-

#### Note:-

- 1 Bidder Can apply in maximum three packages only.
- If any bidder found to have applied for more than 3 packages, their all bids will be consider as non responsive.
- 2 The Processing fee as given against concerned package shall be paid by demand draft payable to Managing Director, U.P. State Construction & Infrastructure Development Corporation Ltd. at Lucknow. The scanned copy of the same shall be uploaded with Pre-qualification bid and hard copy be submitted in the office of General Manager (C), U.P. State Construction & Infrastructure Development Corporation Ltd. T.C. 46 V, Vibhuti Khand, Gomtinagar, Lucknow 226010
- 2.3 Scope of Work: Scope of work includes Development and Construction of above mentioned building for KENDRIYA VIDYALAYA SANGATHAN, NEW DELHI Govt. of India along with all appurtenant works including all supplies of materials and labour required for the completion of works in terms of tender conditions which shall be invited from the Pre-qualified Contractor/firms for the subject work. The good for construction drawings shall be made available from UPSCIDCO and Engineering Consultant appointed by UPSCIDCO during construction stage. Bill of Quantities (BOQ) shall be given while calling price bid.

### Detail of work:-

Sl.No.	Building (RCC framed structure)	Nos.		
1	School building(two section school building) consisting of classrooms, Library principal, Vice principal rooms, staff room, laboratories etc.	1		
2	Principal Residential Quarter Type - V	1		
3	Type-III Residential Quarter Complex	4		
4	Type-II Residential Quarter Complex	4		
5	Development works			
(i)	Construction of Boundary Wall included drainage and sewerage disposal systems.		Internal & Externa	l water supply,
(a)	Tube well including submersible pump & cabling etc. complete up to desired depth after obtaining ground water report from concered authorities.			
6	Construction of Additional class rooms Regional Offices/ZIET buildings, staff quarters, multipurpose halls, auditorium, canteen, cycle stand and other Misc.works	As per need		
	(ii) Over head Tank with out staging			
	(iii)Under ground sump with centrifu	gal water p	oump	
	(iv)Pump House			
	(v) Water supply distribution, drainage	ge and sew	erage disposal syst	em
li.	Internal Electrification:-			
	(i) Substation building			
	(ii)HT/LT Panel/Transformer			
	(iii)Street lighting using U.G. cables			
	(iv)External wiring/cable connection pilar, building & pump house etc.	using U.G.c	cables from sub-sta	tion to feeder
	(v)Obtaining electricity connection fr	om local el	ectricity board.	
iii.	Internal roads, paths and culverts (if	any)		
iv.	Retaining walls as per site conditions			
8	Miscellaneous works & annual repair buildings, staff quarters, ZIET building			air of school
9	Installation of solar energy.			

<sup>\*</sup> Scope of work may increase or decrease as per requirement.

#### Submission of Documents through E-Mode

The following document duly digitally signed by the person authorized <u>to</u> sign the bid shall be uploaded on UPSCIDCO Ltd.' E-tender portal, <u>etender.up.nic.in</u>

#### Part I "Technical Bid"

- 1 Formats for Application, as specified in section 4
- 2 Any other information/documents required to be completed and submitted by bidder, as specified in the NIPQ.

#### Part II "Processing Fee"

Processing fee as per NIT for respective package **Non-refundable** will be required to be paid offline in the form of Demand Draft from Nationalized or any scheduled bank HDFC/ICICI/AXIS drawn in favor of Managing Director, UPSCIDCO Ltd. payable at Lucknow. The original Demand Draft against Processing fee should be posted / couriered / given in the office of General manager, Construction UPSCIDCO, T.C. 46 V, Vibhuti Khand, Gomtinagar, Lucknow, latest by the last date of bid submission or as specified in the PQ Document. The details of Demand Draft / any other accepted instruments, physically sent, should tally with the details available in the scanned copy and the data entered during bid submission time.

UPSCIDCO reserves the right to reject any or all the applications or limit the short list to any number or annul this process without assigning any reason whatsoever. This notice is also available on UPSCIDCO's website www.upscidc.org, etender.up.nic.in

Any corrigendum/addendum/errata in respect of the above empanelment/Pre- qualification shall make available only at our official web site www.upscidc.org, etender.up.nic.in No further press advertisement will be given. Hence prospective applicants are advised to visit UPSCIDCO web site regularly for above purpose.

Documents submitted in connection with Pre-qualification will be treated Confidential and will not be returned.

2.7 The application shall be submitted along with following duly signed by the authorized signatory:

Application fee as per NIT in the form of Demand Draft/Banker's Cheque in favor of Managing Director, U.P.S.C.I.D.C.O. Ltd. Payable at Lucknow.

Pre-qualification documents specified in NIPQ (Credentials along with other related documents).

Letter of transmittal on the letter head in respect of the application conditions as per Form 'K' and Past Contractual Performance as per Form'I' available in the document. Downloaded application document duly signed by the authorized signatory as a token of acceptance of conditions.

- a) The application shall not include any financial information.
- b) The application may be declared non responsive/ invalid, if the application is not accompanied by the requisite documents as stipulated in document.
- c) Application will be treated as non responsive if processing fee in proper format as described above is not accompanied and shall be rejected out rightly.

The Envelope shall be sealed and marked/written clearly as:

#### "Application for Pre-qualification of Contractor/firms for KVS"

NIPQ No :	Due on : From

(Name of the Company)

- 2.8 It is advised that the Contractor/firms should visit the site of work at his own cost to properly assess the scope of work.
- 2.9 An authorized representatives of the Contractor/firms shall sign all the documents. The authorization shall be in the form of a legally enforceable written power of attorney executed on non-judicial stamp paper of appropriate value duly notarized and shall be submitted along with bid.
- 2.10 The past experience in similar nature of work should be supported by certificates issued by an Officer not below the rank of Executive Engineer or equivalent.
- 2.11 The gross annual turnover should be certified by the Chartered Accountant. Year in which no turnover is shown would also be considered for working out the average.
- 2.12 Applicant Agency shall have to submit Un-utilized credit facility of 10% (minimum) of estimated cost issued on or after the date of publication of NIPQ duly certified by Bank as per Form 'D'.
- 2.13 The applicant should have sufficient number of Technical and Administrative employees for the proper execution of the contract. The applicant should submit details of same with Application.
- 2.14 All the applications received, will be evaluated on the basis of information and documents provided by the applicant. In case the applicant is not found suitable, no further correspondence will be made or entertained.
- 2.15 Under no circumstances the processing fees can be adjusted against the pending dues of Contractor/firms working with UPSCIDCO and the processing fee is required to be submitted in the form as mentioned in Notice for empanelment.
- 2.16 The Pre-qualified Contractor/firms shall be required to submit bids against the subject deposit work and may also be considered for other deposit works of various ministries & departments, tendered works and for forming pre- tender tie-ups/association on the Terms & Conditions of Tender Documents to be issued by UPSCIDCO on project to project basis.
- 2.17 The Pre-qualified Contractor/firms shall be required to submit EMD for tender separately, Details of which shall be stipulated in Tender Documents for financial bid.
- 2.18 It is intended to call bids for subject work or may be for other different works from the Contractor/firms.
- 2.19 UPSCIDCO reserves the right to call open tenders if sufficient number of Contractor/firms not pre-qualified in this process.

- 2.20 The tender to be invited by UPSCIDCO from the empanelled/Pre-qualified Contractor/firms shall be available on Website of UPSCIDCO, <a href="http://www.upscidc.org">http://www.upscidc.org</a> /etender.up.nic.in The Pre-qualified Contractor/firms are advised to check the details from UPSCIDCO's Website regularly and no claim of Pre-qualified Contractor/firms shall be entertained whatsoever, due to non-receipt of information about the tender of UPSCIDCO.
- 2.21 Letter of transmittal and other Formats for giving details for prequalification are given in Section 4.
- 2.22 All information called for in the enclosed forms should be furnished against the relevant columns. If for any reason, information is furnished on a separate sheet, this fact should be mentioned against the relevant column. Even if no information is to be provided in a column, a 'NIL' or 'NO SUCH CASE' entry should be made in that column. If any particulars/Query is not applicable in case of the applicant, it should be stated as "not applicable". The applicants are cautioned that not giving complete information called for in the application forms or not giving it in clear terms or making any change in the prescribed forms or deliberately suppressing the information may result in the applicant being rejected. Applications made by telegram or telex, E-mail, and those received late or without processing fees will not be entertained.
- 2.23 The application should be type-written. The applicant should sign and stamp each page of the application.
- 2.24 Overwriting should be avoided. Correction, if any, should be made by neatly crossing out, initialing, dating and rewriting. Pages of the pre-qualification documents are numbered. Additional sheets, if any, added by the contractor, should also be numbered by him. They should be submitted as a package with signed letter of transmittal.
- 2.25 References, information and certificates from the respective clients certifying suitability, technical knowhow or capability of the applicant should be signed by an officer not below the rank of Executive Engineer or equivalent.
- 2.26 The applicant may furnish any additional information which he thinks is necessary to establish his capabilities to successfully complete the works. The applicants are however, advised not to furnish superfluous information. No information shall be entertained after submission of pre-qualification documents unless it is called for by the UPSCIDCO.
- 2.27 Any information furnished by the applicant found to be incorrect either immediately or at a later date, would render him liable to be debarred from empanelment / tendering / taking up of work in UPSCIDCO. If such applicant happens to be empaneled / enlisted contractor his name shall be removed from the empaneled list of consultants.
- 2.28 Prospective applicants may request clarification on the empanelment document within ten days prior to the last date of submission of empanelment application as mentioned in Notice for empanelment. No request for clarification will be considered after that date.

#### 2.29 **DEFINITIONS**

In this document the following works and expressions have the meaning hereby assigned to them:

- (i) "OWNER" Means KENDRIYA VIDYALAYA SANGATHAN, NEW DELHI
- (ii) "EMPLOYER" OR "UPSCIDCO" Means U.P. state construction & infrastructure development corporation ltd.
- (iii) "APPLICANT" Means the individual, proprietary firm, firm in partnership, limited company, private or public or corporation.
- (iv) "YEAR" means "Financial year" unless stated otherwise.

#### 2.30 METHOD OF APPLICATION:

- (i) If the applicant is an individual, the application shall be signed by him above his full typewritten name and current address.
- (ii) If the applicant is a proprietary firm, the application shall be signed by the proprietor above his full typewritten name and the full name of his firm with its current address.
- (iii) If the applicant is a firm in partnership, the application shall be signed by all the partners of the firm above their full typewritten names and current addresses or alternatively by a partner holding power of attorney for the firm. In the latter case a certified copy of the power of attorney should accompany the application. In both cases a certified copy of the partnership deed and current address of all the partners of the firm should accompany the application.
- (iv) If the applicant is a limited company or a corporation, the application shall be signed by a duly authorized person holding power of attorney for signing the application accompanied by a copy of the power of attorney. The applicant should also furnish a copy of the Memorandum of Articles of Association duly attested by a Public Notary.
- (v) Applicants are required to submit full bio-data giving details about their organization, experience, technical personnel & manpower available in their organization, Equipment holding, PF registration number from RPFC along with the copy of challan in proof of deposit of EPF with in the period of last three months, Balance sheet and turnover details for last 5 years duly certified by CA, Litigation history etc. in order to assess their financial and technical capabilities etc. in the enclosed forms which will be kept confidential.
- (vi) Each page of the documents shall be signed by power of attorney holder or authorized signatory. The documents shall be signed by person(s) on behalf of the organization having necessary authorization/power of attorney to do so (certified copies to be enclosed).
- (vii) If the space in the proforma is in-sufficient for furnishing full details, such information may be supplemented on separate sheets of paper, stating therein the part of the proforma and serial number. Separate sheets shall be used for each part. However, the format shall be as per proforma.
- (viii) Applications containing false / incomplete and / or inadequate information are liable to be rejected. Also mere fulfillment of eligibility criteria does not guarantee for selection.

- (viii) Canvassing in any form in connection with pre-qualifications is strictly prohibited and the application of such persons/organizations that resort to canvassing will be liable to rejection.
- 2.31 Additional Requirement Even though the Applicants meet the above qualifying criteria, they are liable to be disqualified if they have-
  - (a) Made misleading or false representation in the forms, statements and attachments in proof of the qualification requirements;
  - (b) Records of poor performance such as abandoning the work, not properly completing the contract, inordinate delays in completion, litigation history or financial failures etc.
  - (c) Their business banned by any central Govt. Department/Public Sector Undertakings or Enterprises of Central Govt.
  - (d) Not submitted all the supporting documents or not furnished the relevant details as per the prescribed format.
- 2.32 A declaration to the above effect should be submitted as per Form 'l'.
- 2.33 Applicant shall submit the general information about Applicant as per Form 'B'
- 2.34 Applicant shall submit the list of major plant & machinery available with the firm as Form 'G'.
- 2.35 Process to be Confidential
- 2.36 Information relating to the examination, clarification, evaluation and comparison of bids, and recommendations for bid shall not be disclosed to Applicants or any other persons not officially concerned with such process until the process is finalized.

#### 2.37 FINAL DECISION MAKING AUTHORITY

UPSCIDCO reserve the right to modify the eligibility criteria, to decide on cutoff date of implementation, to accept or reject any application, to annul the PRE- QUALIFICATION process, to reject all applications or accept new applications at any time, without assigning any reason or incurring any liability to the applicants.

#### 2.38 Application Evaluation

Examination of applications and Determination of Responsiveness

- 2.38.1 The Employer shall examine the applications to determine whether they are complete, whether the documents have been properly signed and whether the documents are generally in order, and all documents as per requirement have been submitted.
- 2.38.2 Prior to the detailed evaluation, Employer shall determine whether each application is of acceptable quality, is generally complete and is substantially. For purposes of this determination, a substantially responsive application is one that conforms to all the documents as specified in the required format without material deviations, objections, Conditionality or reservation. A material deviation, objection, conditionality or reservation is one;
  - a) That affects in any substantial way the scope, quality or performance of the contract.

- b) That limits in any substantial way, inconsistent with the bidding documents, the Employers' rights or the successful Applicant's obligations under the Tender document, or
- c) Whose rectification would unfairly affect the competitive position of other Applicants who are presenting substantially responsive bids.
- 2.38.3 If required, the UPSCIDCO may seek clarifications on the documents and credentials of applicants. If the clarifications sought by the UPSCIDCO are not received in stipulated period then technical evaluation will be done based on available data in their application.
  - a) Application shall be evaluated in two stages as under:

#### STAGE-I:

Evaluation of the Technical documents submitted, initially, it shall be seen whether the Contractor/firms submitted all the documents mentioned here in above.

For non-compliant the application shall be rejected at this stage itself. STAGE- II Credential Evaluation:

Under this stage, the applications short listed after Stage- I shall be evaluated for the pre-qualification and if found suitable should be eligible for financial bid.

- 2.38.4 The committee shall evaluate the Documents submitted by Bidders.
- 2.38.5 While deciding upon the technical qualification of applicant great emphasis will be given on the ability and competence of applicants to do good quality works within the specified time schedule and in close coordination with other agencies.

#### 2.39 Invitation of Financial bid

- a) After the pre-qualification evaluation is completed, the UPSCIDCO shall notify in writing to those Construction agency/firm that have qualified and empaneled.
- b) Empaneled/Qualified Contractor/firm may be intimated separately through UPSCIDCO web site/ e-mail/ speed post for submission of financial bid, additional technical documents (if required any), Earnest money deposit.
- c) Financial proposals of only those firms who are technically qualified shall be opened online on the date & time specified to be notified.
- d) The final selection will be based on Least Cost Basis. The details will be share with financial bid invitation.

# **SECTION-3**

MINIMUM QUALIFICATION CRITERIA

### **Minimum Qualification Criteria**

- 1. Average Annual Financial Turnover on construction works during the last three years, ending 31<sup>st</sup> March of the previous financial year i.e. 2017-18, should be at least 50% of the estimated cost. Audited Annual Report to be attached. In case Balance Sheet with profit & loss is not prepared for 2017-18, Provisional Balance sheet along with profit & loss duly certified by chartered accountant is to be enclosed.
- 2. **Experience**: Applicant should have the experience of completion of similar works during last 7 years ending last day of month previous to the one in which tenders are invited should be either of the following.
  - a. Three similar completed works each costing not less than the amount equal to 40% of the estimated cost.

or

b. Two similar completed works each costing not less than the amount equal to 60% of the estimated cost,

or

- c. One similar completed work costing not less than the amount equal to 80% of the estimated cost.
- 3. **Similar works mean**: Civil work comprising RCC framed structure Institutional /Residential/Industrial building including services like electrification, Sanitary & Water Supply, Drainage and waste management, Road works, Firefighting & Site development etc. from Central / State Govt. Departments / PSUs and/or Autonomous bodies/Public Limited Company Listed in stock exchange with supporting evidence & TDS certificate / Private Ltd. Company with TDS Certificate. The value of executed works shall be brought to current costing level by enhancing the actual value of work at simple rate of 7 % per annum calculated from the date of completion to last date of receipt of applications for tenders.
- 4. **Profitability:** The applicant should be a profit (net) making firm and Should not have incurred losses for more than two years out of last five years ending 31 March, 2018 duly certified by Chartered Accountant.
- 5. **EPF Registration:** Agency must have EPF registration, in case EPF registration is not there. The agency should obtain EPF registration on allotment of work.
- 6. Joint Venture: Joint Ventures are not permitted.
- 7. **Credit Facility:** Applicant Agency shall have to submit Un-utilized credit facility of 10% (minimum) of estimated cost put to tender issued on or after the date of publication of Notice inviting Pre-qualification duly certified by Bank.
- 8. The applicant must have adequate organizational setup as well as having sufficient number of experienced personnel, technical know-how, and infrastructure to complete the project well within time frame.
- 9. The applicant must have minimum three years experience working with public sector enterprises / government / semi government / autonomous organizations as per clause (iii) above.
- 10. UPSCIDCO is free to get documents verified and agency shall have no objection to it. In case if it is found at any stage that that the agency has made any false information will be disqualified and kept on holiday list.

- 11. Even though an applicant may satisfy the above requirements, he would be liable to disqualification if he has:
  - (a) Record of poor performance such as abandoning work, not properly completing the contract, delay in completion of works, or financial failures / weaknesses etc.
  - (b) Made misleading or false representation or deliberately suppressed the information in the forms, statements and enclosures required in the PRE- QUALIFICATION documents.

# **SECTION -4**

### **FORMATS FOR APPLICATION**

FORM 'A'

### APPLICATION FOR PRE-QUALIFICATION OF CONSTRUCTION AGENCIES/FIRMS

(On the letter head of the firm)

Ref. No.	Date:
To, Genral Manager (Const.) U.P.S.C.I.D.C. Ltd., T.C46, V, Vibhuti Khand, Gomti Nagar, Lucknow – 206	010
• •	
Dear Sir,	
desired documents along wit/- (RupeesDemand Draft /Pay order No.	ed above for the subject work enclosed here with the h the requisite processing fees of Rs only) is here with uploaded the scan copy of the
Thanking you.	

(SIGNATURE OF APPLICANT)

### FORM'B'

### STRUCTURE AND ORGANISATION

1.	Name and address of applicant	
2.	Telephone No. / Fax No. / E-mail	
3.	Legal status of the applicant (attach copies of original	
	documents defining the legal status)	
	The applicant is:	
(a)	An Individual	
(b)	A proprietary Firm	
(c)	A Firm in partnership	
(d)	A Limited Company (private or public ) or Corporation	
4.	Particulars of registration with various	
	Government bodies/Organization. (attach attested photocopy)	
5.	Name of Proprietor / Partners / Directors with	
	their addresses, Mobile	
	& Telephone numbers, Fax, E-mail address.	<u> </u>
6.	Designation of individuals authorized to act for	
	the organization.	
7.	Was the applicant ever required to suspend any construction for a period of more than six months continuously after commencement of the construction? If so, give the name of the project & reasons of suspension of work.	
8.	Has the applicant of any constituent partner in case of partnership firm, ever abandoned the awarded work before its completion? If so, give name of the project and reasons for abandonment.	
9.	Has the applicant, or any constituent partner in case of partnership firm ever been debarred / black listed for tendering in any organization at any time? If so, give details.	

10.	Has the applicant or any constituent partner in case	
	of partnership firm, ever been convicted by a court	
	of Law? If so, give details.	
11.	Other details:	
	a) EPF No. valid up to:	
	b) PAN No.	
	c) GST registration No.	
	(Copies to be enclosed)	
12.	Any other information considered necessary but not	
	included above.	
	Seal of Applicant	Signature of Applicant

FORM'C'

#### **FINANCIAL INFORMATION**

i. Financial Analysis: Details to be furnished duly supported by figures in Balance Sheet / Profit & Loss account for the last five years duly certified by the Chartered Accountant, as submitted by the applicant to the Income tax Department (certified copies to be attached)

		Gross Annual turnover on construction work.	Profit / Loss	Net Worth
(1)	2017-18			
(11)	2016-17			
(111)	2015-16			
	Average of above			
	three years			

- II. Financial arrangement for carrying out the works.
- III. The following certificates are to be enclosed.
  - (a) Tax deducted at sources, certificates from the client for the last three years.
  - (b) Copy of Income tax returns.

Signature of chartered Accountant With Seal

Seal and Signature of Applicant

FORM'D'

### **<u>Certificate of Credit Facility</u>**

(On Banker's letter Head)

This is to certify that M/sgood financial standing.	_, is a reputed firm/company with a
The firm/company is enjoying a fund/non-fund based credit faworking capital requirements.	acility of Rs to meet its
The firm/company is having un-utilized fund/non-fund based as on dd/mm/year.	credit facility of Rs
	Signature Name
	Designation
	Address of Bank
ВА	ANK'S SEAL
<b>NOTE:</b> The above certificate shall be from the RBI Scheduled Ban	nk issued after the date

of NIPQ.

FORM 'E'

<u>DETAILS OF ALL OF SIMILAR WORKS COMPLETED DURING</u>

<u>LAST SEVEN YEARS</u>

Owner or Organization  Value of work in lakhs at completion  Total Built up Area  Total Built up Area  Date of commencement as per contract  Stipulated date of completion  Actual date of completion  Bactual date of completion  Chigation/Arbitration/pending in progresswithdetails  Offlicertowhomreferencemaybemade  Total Built up Area  Actual Built up Area  Date of completion  Remarks	1 2	S. No. Name of work / project & Location
	3	Owner or Organization
	4	Value of work in lakhs at completion
	5	Total Built up Area
	6	Date of commencement as per contract
	7	Stipulated date of completion
	8	Actual date of completion
	9	Litigation/Arbitration/pending in progresswithdetails
	10	Name & address/telephone number ofofficertowhomreferencemaybemade
	11	Remarks

Signature of Applicant With seal

### **DETAILS OF ON-GOING/EXISTING WORKS**

#### FORM 'F'

S. No.	Description of the Work with Contract No.	Date of			of work s. lacs )	Built	up area	Anticipated date of completion of work	Any other relevant
		Award	Stipulated		Completed	Total	Executed as on date		
1			Completion	order	so far		as on date		
2									
3									
4									
5									
6									
7									
8									

#### Note:-

The copies of certificates of ongoing/awarded works issued by the owner shall be attached. Only those works shall be considered for evaluation for which copies of the certificates issued by the owner are attached.

#### FORM 'G'

#### List of major Plant and Machinery in possession of the firm

S.No.	Name of Plant & Machinery/equipment	Available Owned	*Other than col. No. C
A	В	С	D
1.	Excavator		
2.	Dozer		
3.	Dumper		
4.	Truck		
5.	Tractor with trolley		
6.	Water Tanker		
7.	Batching Plant : Capacity		
8.	Transit Mixer		
9.	Site Mixers with weigh batcher		
10.	Tower Crane		
11.	Skip Hoist		
12.	Dewatering / Water Pumps		
	Survey equipments:		
13.	a) Total Stn,		
	b) Theodolite		
	c) Level instruments		
14.	Details of Shuttering & Staging materials		
15.	Any other information**		

Signature & seal of	of the a	pplicant
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Date & Place

Note:

- \* In case of any arrangement for getting the equipment on lease, etc., authenticated proof of the same is to be submitted.
- \*\* Use separate sheets for providing more information.

					FORM 'H'
PERFO	RMANCE REPORT IN RESPECT O	F M/S			
1.	Name of work / project & Location				
2.	Agreement Amount				
3.	Estimated Cost				
4.	Tender Amount				
5.	Date of start				
6.	Date of completion				
(i)	Stipulated date of completion.				
(ii)	Actual date of completion/likely date of completion				
7.	Amount of compensation levied for delayed completion, if any.				
8.	Performance report	Very Good	Good	Fair	Poor
(a)	Quality of work	Very Good	Good	Fair	Poor
(b)	Resourcefulness	Very Good	Good	Fair	Poor
(c)	Financial soundness	Very Good	Good	Fair	Poor
(d)	Technical proficiency	Very Good	Good	Fair	Poor
(e)	General Behavior	Very Good	Good	Fair	Poor

Date: Executive Engineer or Equivalent

Note: 1) The performance report is to be submitted separately for each work mentioned in Form 'E' & 'F'. This report should be signed by the authority of owner not less than the rank of executive Engineer or equivalent.

2) The performance report preferably be submitted in the above performa. In case, different performa is used the applicant shall ensure that the report/certificate shall Contain the above information.

FORM 'I'

#### **PAST CONTRACTUAL PERFORMANCE**

THE NON JUDICIAL STAMP PAPER OF APPROPRIATE VALUE DULY NOTORISED)	
this is to certify that we, M/s [Name of the Application of the application of Contractor/Firm for Projects in India.	
) have not made any misleading or false representation in the tatements and attachments in proof of the qualification requirements	
i) do not have records of poor performance such as abandoning the vork, not properly completing the contract, inordinate delactory or financial failures etc.;	
ii) have never been banned by any Central/State Govt. Departments, sector Undertakings or Enterprises of Central/State Govt.;	/Public
v) have submitted all the supporting documents and furnished elevant details as per the prescribed format.; and	ed the
have submitted all the information and the requisite document he Bid and further certify that we are fully responsible for the correct he information and documents submitted by us.	
-	of Applicant h seal
Note: Exceptions of the above, if any, shall be clearly mentioned with details by the applicant for evaluation/consideration if any.	

### LITIGATION HISTORY (ON THE LETTER HEAD OF APPLICANT)

FORM 'J'

		, , ,	JN INCLEITE	R HEAD OF AF	FLICAIVI			
S.No.	Name of Work	Client	Type of case(Courtcase/Arbitration case)	Date of registering of case	Name & Address ofCourt/Arbitrator	Amount involved	Present Status	Remarks (if any)
1	2	3	4	5	6	7	8	9

Signature of Applicant With seal

#### Note:

Applicant has to submit the details of last 5 years in respect of Court cases / Arbitration cases.

FORM 'K'

#### **GST REGISTRATION DETAIL**

S.No.	CONTRACTOR/VENDOR DETAILS
1	Name
<b>1</b> .	Address (As per registration with GST)
	City
	Postal Code
	Region/State (Complete State Name)
<b>3</b> .	GSTIN ID/Provision ID No. (Copy of Acknowledgement
3.	required)
4.	Type of Business (As per registration with GST)
5. <b>5.</b>	Service Accounting Code/HSM Code
6	Contact person
	Phone number and Mobile number
	Email Id.
	Compliance rating (if undated by GSTN)
5. <b>5.</b>	Type of Business (As per registration with GST)  Service Accounting Code/HSM Code  Contact person  Phone number and Mobile number  Email Id.

### LETTER OF TRANSMITTAL (ON THE LETTER HEAD OF APPLICANT)

From:	
То	
U.P. State Construction & Infrastructure Development Corporation LT	ΓD.
T.C46, V-Vibhuti Khand, Gomti Nagar,	
Lucknow – 226010	
SUB: PRE- QUALIFICATION OF CONTRACTOR/FIRMS FOR KVS.	
Sir,	

Having examined the details given in Notice for Pre-qualification and Application documents for the above work, we hereby submit our qualification and relevant documents.

- 1. We hereby certify that all the statements made and information supplied in the enclosed form 'A' to 'K' and accompanying statements are true and correct.
- 2. We have furnished all information and details necessary for pre-qualification and have no further pertinent information to supply.
- 3. We have submitted the requisite banker's certificate / performance reports and authorize the National Project Construction Company LTD. Or their representatives to approach individuals, employers, firms and corporations to verify our submittals, competency and general reputation.
- 4. We hereby confirm that we have read and understood all the stipulations given prequalification shall be final and binding on us.
- 5. We have submitted the following certificates in support of our meeting the minimum qualifying criteria of completed work(s) specified in para 2 of Section 3 for having successfully completed the following works:

S.NO.	NAME OF WORK	CERTFICATE FROM
1.		
2.		
3.		

**Enclosures:** 

Date of Submission (Signature of Applicant) (Seal of Applicant)