

FORM NO. MGT 9

EXTRACT OF ANNUAL RETURN

As on financial year ended on 31.03.2018

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:

1	CIN	U81320UP1976SGC004297
2	Registration Date	25.06.1976
3	Name of the Company	U.P State Construction and Infrastructure Development Corporation Limited
4	Category/Sub-category of the Company	Company Limited By Shares Indian State Government Company
5	Address of the Registered office & contact details	TC-46 V Vibhuti Khand , Gomti Nagar, Lucknow, Uttar Pradesh-226010
6	Whether listed company	No
7	Name, Address & contact details of the Registrar & Transfer Agent, if any.	N.A.

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Construction of buildings	410	100%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

SN	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1	Nil	Nil	Nil	Nil	Nil

IV. SHARE HOLDING PATTERN

(Equity share capital breakup as percentage of total equity)

(i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year [As on 01-April-2017]				No. of Shares held at the end of the year [As on 31-March-2018]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual (as a nominee of State Government)	-	6	6	0.04%	-	6	6	0.04%	0.00%
b) Central Govt	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) State Govt(s)	-	14,994	14,994	99.96%	-	14,994	14,994	99.96%	0.00%
d) Bodies Corp.	-	-	-	0.00%	-	-	-	0.00%	0.00%
e) Banks / FI	-	-	-	0.00%	-	-	-	0.00%	0.00%
f) Any other (Non Promoter Shareholding)	-	-	-	0.00%	-	-	-	0.00%	0.00%
Sub Total (A) (1)	-			100.00%	-			100.00%	0.00%
(2) Foreign									
a) NRI Individuals	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Other Individuals	-	-	-	0.00%	-	-	-	0.00%	0.00%

c) Bodies Corp.	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) Any other	-	-	-	0.00%	-	-	-	0.00%	0.00%
Sub Total (A) (2)	-	-	-	0.00%	-	-	-	0.00%	0.00%
TOTAL (A)	-	-	-	0.00%	-	-	-	0.00%	0.00%
B. Public Shareholding									
1. Institutions									
a) Mutual Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Banks / FI	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) Central Govt	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) State Govt(s)	-	-	-	0.00%	-	-	-	0.00%	0.00%
e) Venture Capital Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
f) Insurance Companies	-	-	-	0.00%	-	-	-	0.00%	0.00%
g) FIIs	-	-	-	0.00%	-	-	-	0.00%	0.00%
h) Foreign Venture Capital Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
i) Others (specify)	-	-	-	0.00%	-	-	-	0.00%	0.00%
Sub-total (B)(1):-	-	-	-	0.00%	-	-	-	0.00%	0.00%
2. Non-Institutions									
a) Bodies Corp.	-	-	-	0.00%	0	-	-	0.00%	0.00%
i) Indian	-	-	-	0.00%	-	-	-	0.00%	0.00%
ii) Overseas	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Individuals	-	-	-	0.00%	0	0	-	0.00%	0.00%
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	-	-	-	0.00%	0	0	-	0.00%	0.00%
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	-	-	-	0.00%	0	0	-	0.00%	0.00%
c) Others (specify)	-	-	-	0.00%	0	0	-	0.00%	0.00%
Non Resident Indians	-	-	-	0.00%	-	-	-	0.00%	0.00%
Overseas Corporate Bodies	-	-	-	0.00%	-	-	-	0.00%	0.00%
Foreign Nationals	-	-	-	0.00%	0	0	-	0.00%	0.00%
Clearing Members	-	-	-	0.00%	0	0	-	0.00%	0.00%
Trusts	-	-	-	0.00%	0	0	-	0.00%	0.00%
Foreign Bodies - D R	-	-	-	0.00%	0	0	-	0.00%	0.00%
Sub-total (B)(2):-	-	-	-	0.00%	-	-	-	0.00%	0.00%
Total Public (B)	-	-	-	0.00%	-	-	-	0.00%	0.00%
C. Shares held by Custodian for GDRs & ADRs									
Grand Total (A+B+C)	-	-	-	100.00%	-	-	-	100.00%	0.00%

(ii) Shareholding of Promoter

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledge	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total	
1	GOVERNOR OF UTTAR PRADESH	14,994	99.96%	0	14,994	99.96%	0	0.00%

2	JYOTI BHUSHAN SRIVASTAVA(as a nominee of State Government)	1	0.006	0	1	0.006%	0	0.00%
3	SUNIL KUMAR SRIVASTAVA (as a nominee of State Government)	1	0.006	0	1	0.006%	0	0.00%
4	SHIV SINGH YADAV (as a nominee of State Government)	1	0.006	0	-	0.00%	0	0.006%
5	RAKESH CHAND KATIYAR(as a nominee of State Government)	-	0.00%	0	1	0.006%	0	0.006%
6	RAJEEV KUMAR SRIVASTAVA (as a nominee of State Government)	1	0.006	0	1	0.006%	0	0.00%
7	MANOJ SINGH (as a nominee of State Government)	1	0.006	0	1	0.006%	0	0.00%
8	JAI PRAKASH TREVEDI(as a nominee of State Government)	1	0.006	0	-	0.00%	0	0.006%
9	AJAY KUMAR SRIVASTAVA(as a nominee of State Government)	1	0.006	0	1	0.006%	0	0.00%

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

SN	Particulars	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Manoj Singh (as a nominee of State Government)						
	At the beginning of the year	01.04.2017		1	0.006	-	0.006
	Changes during the year	01.09.2017	Transfer	1	0.006%	-	-
	At the end of the year	31.03.2018		-	0.00%	-	0.00%
2	Shiv Singh Yadav(as a nominee of State Government)						
	At the beginning of the year	01.04.2017		1	0.006		0.006
	Changes during the year	01.09.2017	Transfer	1	0.006%		
	At the end of the year	31.03.2018		0	0.00%		0.00%
3	Jai Prakash Trivedi (as a nominee of State Government)						
	At the beginning of the year	01.04.2017		1	0.006		0.006
	Changes during the year	27.02.2018	Transfer	1	0.006%		
	At the end of the year	31.03.2018		0	0.00%		0.00%

(iv) Shareholding Pattern of top ten Shareholders

(Other than Directors, Promoters and Holders of GDRs and ADRs):

NIL

SN	For each of the Top 10 shareholders	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Name:						
	At the beginning of the year	01.04.2017		-	0.00%		0.00%
	Changes during the year			-	-		0.00%
	At the end of the year	31.03.2018		-	0.00%		0.00%

(v) Shareholding of Directors and Key Managerial Personnel:

SN	Shareholding of each Directors and each Key Managerial Personnel	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	RAJEEV KUMAR SRIVASTAVA (as a nominee of State Government)						
	At the beginning of the year	01.04.2017		1	0.006	1	0.006
	Changes during the year			-	0.00%	-	0.00%

	At the end of the year	31.03.2018		1	0.006	1	0.006
2	JYOTI BHUSHAN SRIVASTAVA (as a nominee of State Government)						
	At the beginning of the year	01.04.2017		1	0.006	1	0.006
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year	31.03.2018		1	0.006	1	0.006%
3	SHIV SINGH YADAV (as a nominee of State Government)						
	At the beginning of the year	01.04.2017		1	0.006	1	0.006
	Changes during the year	01.09.2017	Transfer	1	0.00%	-	0.00%
	At the end of the year	31.03.2018			0.00%		0.00%
4	RAKESH CHAND KATIYAR (as a nominee of State Government)						
	At the beginning of the year	01.04.2017		-	0.00%	-	0.00%
	Changes during the year	01.09.2017	Allot	1	0.006	1	0.006
	At the end of the year	31.03.2018		1	0.006	1	0.006
5	MANOJ SINGH (as a nominee of State Government)						
	At the beginning of the year	01.04.2017		1	0.006	1	0.006
	Changes during the year	01.09.2017	Transfer	-	0.00%	-	0.00%
		27.02.2018	allot	1	0.006	-	0.006
	At the end of the year	31.03.2018		-	0.006	-	0.006

6	JAI PRAKASH TREVED (as a nominee of State Government)						
	At the beginning of the year	01.04.2017		-	0.00%	-	0.00%
	Changes during the year	01.09.2017	Allot	1	0.006%	1	0.006
		27.02.2018	transfer	-	0.00%	1	0.00%
	At the end of the year	31.03.2018		-	0.00%	-	0.00%

V. Indebtedness of the Company including interest outstanding/accrued but not due for payment.:NIL

(Amt. Rs./Lacs)

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount			-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)			-	-
Change in Indebtedness during the financial year				
* Addition	-		-	-
* Reduction		-	-	-
Net Change		-	-	-
Indebtedness at the end of the financial year				
i) Principal Amount			-	-
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)		-	-	-

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration	Name of MD/WT/ Manager		Total Amount
		Name	Jai Prakash Trivedi	D.N. Verma
		Designation	Ex- Managing Director	Ex- Managing Director
1	Gross salary		9,09,200.00	6299
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961		---	---
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961		---	---
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961		---	---
2	Stock Option		---	---
3	Sweat Equity		---	---
4	Commission		---	---
	- as % of profit		---	---
	- others, specify		---	---
5	Others, please specify		---	---
	Total (A)		9,09,200.00	6,299.00
	Ceiling as per the Act		N.A	N.A

B. Remuneration to other Directors

SN.	Particulars of Remuneration	Name of Directors				Total Amount
						(Rs)
1	Independent Directors	J.B.Srivastava	Rakesh Chand Katiyar	Kapil Vaish	Jitendra Singh	-----
	Fee for attending board committee meetings	5000 per meeting	5000 per meeting	5000 per meeting	5000 per meeting	-----
	Commission	-----	-----	-----	-----	-----
	Others, please specify	-----	-----	-----	-----	-----
	Total (1)	26,650.0	55,900.0	30,000.0	40,900.0	1,53,450.0
2	Other Non-Executive Directors	R.R Lal	Anandeswari Awasthi			-----
	Fee for attending board committee meetings	5000 per meeting	5000 per meeting			-----
	Commission	-----	-----	-----	-----	-----
	Others, please specify	0	0	0	0	0
	Total (2)	21,650.0	15,900.0			37,550.0
	Total (B)=(1+2)	48,300.0	71,800.0	30,000.0	40,900.0	1,91,000.0
	Total Managerial Remuneration	Rs. 1,00,000 Per meeting				-----
	Overall Ceiling as per the Act					-----

C. Remuneration to Key Managerial Personnel other than MD/Manager/WT/

SN.	Particulars of Remuneration	Name of Key Managerial Personnel			Total Amount
		Name			(Rs/Lac)
		Designation	CEO	CFO	CS
1	Gross salary				
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961				-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961				-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961		NIL		-

2	Stock Option				-
3	Sweat Equity				-
4	Commission				-
	- as % of profit				-
	- others, specify				-
5	Others, please specify				-
	Total		-	-	-

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty					
Punishment					
Compounding			NIL		
B. DIRECTORS					
Penalty					
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty					
Punishment					
Compounding					

Company
Secretary

Pragya Singh

A29184

Managing
Director

DIN :

Shiv Prasad

08785670